



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>B01D 39/18, 39/14, A61L 2/00, 2/02</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 98/04335</b> <b>(43) International Publication Date:</b> 5 February 1998 (05.02.98)
<b>(21) International Application Number:</b> PCT/US97/10722 <b>(22) International Filing Date:</b> 19 June 1997 (19.06.97)  <b>(30) Priority Data:</b> 08/681,638 29 July 1996 (29.07.96) US  <b>(71) Applicant:</b> KIMBERLY-CLARK WORLDWIDE, INC. [US/US]; 401 North Lake Street, Neenah, WI 54956 (US).  <b>(72) Inventors:</b> EVERHART, Dennis, Stein; 230 Hereford Road, Alpharetta, GA 30201 (US). GADSBY, Elizabeth, Deibler; 5338 Timber Ridge Road, Marietta, GA 30068 (US). KAY-LOR, Rosann, Marie; 7480 Williamsburg Drive, Cumming, GA 30131 (US). KILICK-FISCHER, Kristi, Lynn; 910 Steeplechase Road, Alpharetta, GA 30201 (US).  <b>(74) Agents:</b> SIDOR, Karl, V. et al.; Kimberly-Clark Worldwide, Inc., 401 North Lake Street, Neenah, WI 54956 (US).	<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>	
<b>(54) Title:</b> METHOD OF USING CATIONIC CHARGE MODIFIED FILTER		
<b>(57) Abstract</b>		
<p>A method of removing a substantial portion of waterborne pathogens from an aqueous liquid contaminated with such waterborne pathogens. The method includes the steps of passing the contaminated aqueous liquid through a chemically charge-modified filter composed of: 1) a filter sheet having a plurality of individual exposed cellulose fibers and silica based filter materials selected from silica particulates and siliceous fibers; and 2) cationic chemical charge modifiers coated on surfaces of the filter sheet. When the contaminated aqueous liquid is passed through the chemically charge-modified filter, a substantial portion of the waterborne pathogens greater than about 0.1 micron in size are adsorbed onto the chemically charge-modified filter. The filter is effective at removing <i>Vibrio cholerae</i>.</p>		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

## METHOD OF USING CATIONIC CHARGE MODIFIED FILTER

FIELD OF THE INVENTION

5 This invention relates to a method of filtering aqueous liquids.

BACKGROUND OF THE INVENTION

Apertured films, woven fabrics and nonwoven materials have been used as filter sheets for removing or separating particles from liquids. Generally speaking, methods of filtering liquids that utilize such filter sheets rely on some form of mechanical straining or physical entrapment that can present limitations when the size of the particle to be removed is very small, especially particles of less than one micron in diameter.

Improved filters have been developed with modified surface charge characteristics to capture and adsorb particles by electrokinetic interaction between the filter surface and particles contained in an aqueous liquid. Some filters have been used in processes reported to be effective in removing specific virus particles and pyrogens. The reported literature appears to describe use of such charge-modified filter materials only for capturing and concentrating waterborne enteric viruses for sampling large water sources (e.g., lakes, rivers, effluent).

One phenomena observed with some filters having modified surface charge characteristics is that the filters have different filtration efficiencies for different types of particles and/or organisms, such as, for example, different types of virus. That is, some filters having modified surface charges provide acceptable removal of some types of organisms (e.g., some types of virus) but not others. The nature of this affinity appears to be difficult to predict. In some cases, the affinity exists under only carefully controlled circumstances.

Since even relatively small differences in removal efficiency can be very important if the organism being removed is pathogenic, the discovery that a filter or filter system has an unpredictably strong affinity for a pathogenic organism would be both unexpected and highly desirable, especially if the filter can be used to produce potable water.

Thus, there is a need for a simple, practical and inexpensive method for removing pathogenic organisms from aqueous liquid. This need also extends to a simple method for removing pathogenic organisms from aqueous liquid utilizing a practical and inexpensive chemically charge-modified filter. Meeting this need is important because removing pathogenic organisms from aqueous liquids in a practical and inexpensive manner remains a challenge in many parts of the world.

## DEFINITIONS

As used herein, the term "chemical charge modifier" refers to polymeric material capable of coating a substrate and providing a cationic charge site. Chemical charge modifiers may be cationic polymers such as, for example, quaternary amine containing cationic resins, aliphatic polyamines having at least one primary amine or at least two secondary amines, and the like. It is contemplated that chemical charge modifiers may be cationic polymer systems composed of a primary polymer material and a secondary polymer material. For example, the cationic polymer system may be composed of a primary polymer material such as polyamine epichlorohydrin and a secondary polymer material such as tetraethylene pentamine. Exemplary chemical charge modifiers may have a positive charge and, when present in a liquid having a dielectric constant sufficient for separate charged particles to exist, can be incorporated, coated or adsorbed onto a substrate to modify the coating so that cationic species and/or positively charged particles are present at the surface of the coating under the appropriate conditions.

As used herein, the term "chemically charge-modified" refers to the incorporation of chemical charge modifiers (e.g., cationic polymers) onto a substrate. Generally speaking, charge modification occurs when the chemical charge coated substrate is in contact with aqueous liquid under appropriate conditions so that cationic species and/or positively charged particles are present on the surface of the coating.

As used herein, the term "waterborne pathogens" refers to microorganisms existing in water or aqueous liquids that are capable of causing disease. For purposes of the present invention, waterborne pathogens are microorganisms greater than 0.1 micron in size and excludes the class of pathogens commonly referred to as "viruses." Exemplary waterborne pathogens include, but are not limited to, *Vibrio cholerae*, *Escherichia coli*, *Salmonella typhimurium*, *Shigella flexneri*, *Campylobacter jejuni*, *Pseudomonas aeruginosa*, *Giardia lamblia*, *Cryptosporidium parvum*, and *Staphylococcus aureus*.

As used herein, the term "adsorbed" refers to a type of adhesion which takes place at the surface of a solid in contact with another medium (e.g., a liquid), resulting in the accumulation or increased concentration of molecules from that medium in the immediate vicinity of the surface.

As used herein, the term "nonwoven web" refers to a web that has a structure of individual fibers or filaments which are interlaid, but not in an identifiable repeating manner. Nonwoven webs have been, in the past, formed by a variety of processes known to those skilled in the art such as, for example, mtlblowing, spunbonding, wet-forming and various bonded carded web processes.

As used herein, the term "sheet" refers to a material that can be a woven fabric, knit fabric, nonwoven fabric or film-like material (e.g., an apertured film-like material).

As used herein, the term "consisting essentially of" does not exclude the presence of additional materials which do not significantly affect the desired characteristics of a given composition or product. Exemplary materials of this sort would include, without limitation, pigments, antioxidants, stabilizers, surfactants, waxes, flow promoters, particulates or materials added to enhance processability of a composition.

#### SUMMARY OF THE INVENTION

The problems described above are addressed by the present invention which is directed to a method of removing a substantial portion of waterborne pathogens from an aqueous liquid contaminated with such waterborne pathogens. The method includes the steps of passing the contaminated aqueous liquid through a chemically charge-modified filter composed of: 1) a filter sheet having a plurality of individual exposed cellulose fibers and silica based filter materials selected from silica particulates and siliceous fibers; and 2) cationic chemical charge modifiers coated on or bonded to surfaces of the filter sheet.

The chemical charge modifiers include: 1) a primary charge modifier composed of a water soluble organic polymer capable of being adsorbed onto the filter sheet and having a molecular weight of greater than about 1000, each monomer of the polymer having at least one epoxide group capable of bonding to the individual exposed surfaces of the filter sheet and also having at least one quaternary ammonium group; and 2) a secondary charge modifier bonded to a portion of the epoxy groups on the organic polymer, wherein the secondary charge modifying agent is an aliphatic polyamine having at least one primary amine or at least two secondary amines. According to the method of the invention, when the contaminated aqueous liquid is passed through the chemically charge-modified filter, a substantial portion of the waterborne pathogens greater than about 0.1 micron in size are adsorbed onto the chemically charge-modified filter.

In the method of the present invention, the waterborne pathogens may be greater than about 0.1 micron in size. According to an aspect of the invention, the waterborne pathogens may be selected from Vibrio cholerae, E. coli, S. typhimurium, S. flexneri, C. jejuni, P. aeruginosa, G. lamblia, C. parvum and S. aureus.

In another aspect of the invention, the reduction of Vibrio cholerae is desirably greater than a log 3 reduction. For example, the reduction of Vibrio cholerae is desirably greater than a log 5 reduction. Acceptable reductions of Vibrio cholerae may be achieved under a variety of conditions. For example, satisfactory reductions of Vibrio cholerae may be achieved when

the waterborne pathogen contaminated aqueous liquid has a pH ranging from about 5 to about 9.

According to the invention, the chemical charge modifiers may be cationic polymers such as, for example, quaternary amine containing cationic resins, aliphatic polyamines having at least one primary amine or at least two secondary amines, and the like. In one aspect of the invention, the chemical charge modifiers may be cationic polymer systems composed of a primary polymer material and a secondary polymer material. For example, the cationic polymer system may be composed of a primary polymer material such as polyamine epichlorohydrin and a secondary polymer material such as tetraethylene pentamine.

The filter sheet is desirably composed of cellulose fiber and silica based filter materials selected from silica particulates and siliceous fibers (e.g., glass fibers).

It is contemplated that the filter sheet may contain cellulose fiber in combination with some other fibrous or particulate material. Exemplary fibrous materials include meltblown fibers, spunbond filaments and/or various staple fibers.

According to the method of the present invention, the chemically charge-modified filter may have a basis weight of from about 6 to about 400 grams per square meter (gsm). For example, the chemically charge-modified filter may have a basis weight of from about 12 to about 250 grams per square meter. As a further example, the chemically charge-modified sheet may have a basis weight of from about 17 to about 102 grams per square meter.

The present invention encompasses a method of removing waterborne pathogens from aqueous liquid utilizing a multi-layer filter material including at least two layers of the chemically charge-modified filter described above. The present invention also encompasses a method utilizing a multi-layer material including at least one layer of the chemically charge-modified filter described above and at least one other layer. The other layer may be selected from woven fabrics, knit fabrics, bonded carded webs, continuous spunbond filament webs, meltblown fiber webs, films, apertured films, and combinations thereof.

The present invention also encompasses a method of removing waterborne pathogens from aqueous liquid utilizing the chemically charge-modified filter described above in a three-dimensional form or shape such as, for example, a tube, cylinder, cone, cube, sphere or the like.

The method of the present invention described above further encompasses a method of removing a substantial portion of waterborne pathogens greater than 0.1 micron in size from water contaminated with such waterborne pathogens to produce potable water. The method includes the step of passing the contaminated water through the chemically charge-

modified filter described above so that a substantial portion of the waterborne pathogens greater than 0.1 micron in size is adsorbed onto the chemically charge-modified filter.

#### BRIEF DESCRIPTION OF THE DRAWING

5        FIG. 1 is a micrograph of an exemplary chemically charge-modified filter material.

#### DETAILED DESCRIPTION OF THE INVENTION

10        The present invention is directed to a method of removing a substantial portion of waterborne pathogens from an aqueous liquid contaminated with such waterborne pathogens. The method includes the steps of passing the contaminated aqueous liquid through a chemically charge-modified filter composed of: 1) a filter sheet having a plurality of individual exposed cellulose fibers and silica based filter materials selected from silica particulates and siliceous fibers; and 2) cationic chemical charge modifiers coated on surfaces of the filter sheet.

15        The filter sheet is desirably composed of cellulose fiber and silica based filter materials selected from silica particulates and siliceous fibers (e.g., glass fibers). The cellulose fibers may be wood pulp having a diameter ranging from about 6 to about 60 microns and lengths ranging from about 0.85 to about 6.5 millimeters. It is desirable to have greater than about 50%, by weight, of the filter sheet be particulate materials.

20        Suitable siliceous particulates include, for example, clays, talc, diatomaceous earth or the like. Siliceous fibers (e.g., glass fibers) may be used alone or may be mixed with the siliceous particulates.

25        It is contemplated that the filter sheet may contain cellulose fiber in combination with some other fibrous or particulate material. Exemplary fibrous materials include meltblown fibers, spunbond filaments and/or various natural and/or synthetic fibers.

30        If the fibrous materials are meltblown fibers, they may include meltblown microfibers. The fibrous materials may be formed from thermoplastic polymers or thermoset polymers. If the fibrous materials are formed from a polyolefin, the polyolefin may be polyethylene, polypropylene, polybutene, ethylene copolymers, propylene copolymers and butene copolymers. The fibers and/or filaments may be formed from blends that contain various pigments, additives, strengthening agents, flow modifiers and the like. Such fabrics are described in U.S. Patent Nos. 4,041,203, 4,374,888, and 4,753,843, the contents of which are incorporated herein by reference. Those patents are assigned to the Kimberly-Clark Corporation, the assignee of the present invention.

In some embodiments of the invention, it is contemplated that the filter sheet may be formed by adding fibers and/or particulates to the gas stream in which meltblown fibers are carried so that an intimate entangled commingling of meltblown fibers and other materials, e.g., wood pulp, staple fibers and particulates such as, for example, activated carbon, silica  
5 particulates, clays, or the like, occurs prior to collection of the meltblown fibers upon a collecting device to form a coherent web of randomly dispersed meltblown fibers and other materials such as disclosed in U.S. Patent Nos. 4,100,324, and 5,350,624, the disclosure of which is hereby incorporated by reference.

It is also contemplated that the fibrous material in the filter sheet may be joined by  
10 interfiber bonding to form a coherent web structure. Interfiber bonding may be produced by entanglement between individual meltblown fibers, carded fibers, spunbond filaments and/or other fibrous materials. Some fiber entangling is inherent in the meltblown process, bonding-carding process and/or spunbond process but may be generated or increased by processes such as, for example, hydraulic entangling or needlepunching. Alternatively and/or  
15 additionally a bonding agent may be used to increase the desired bonding. If at least a portion of the fibrous material in the filter sheet is cellulosic fibrous material, some interfiber bonding may be attributable to "paper" bonding.

The filter sheet may have a basis weight ranging from about 6 gsm to about 400 gsm. For example, the filter sheet may have a basis weight ranging from about 12 gsm to about  
20 250 gsm. As a further example, the filter sheet may have a basis weight ranging from about 17 gsm to about 102 gsm. It is contemplated that, after processing, any number of treated filter sheets may be joined together or treated filter sheets may be joined to other materials to form a consolidated material that may have a basis weight within the range of 6 gsm to 400 gsm or even greater (e.g., 400 gsm or more).

According to the invention, the chemical charge modifiers include: 1) a primary charge  
25 modifier composed of a water soluble organic polymer capable of being adsorbed onto the filter sheet and having a molecular weight of greater than about 1000, each monomer of the polymer having at least one epoxide group capable of bonding to (or coating) the individual exposed surfaces of the filter sheet and also having at least one quaternary ammonium  
30 group; and 2) a secondary charge modifier bonded to a portion of the epoxy groups on the organic polymer, wherein the secondary charge modifying agent is an aliphatic polyamine having at least one primary amine or at least two secondary amines; a filter sheet having a plurality of individual exposed surfaces.

Exemplary cationic polymers include, but are not limited to, quaternary amine  
35 containing cationic resins, aliphatic polyamines having at least one primary amine or at least



two secondary amines, and the like. In one aspect of the invention, the chemical charge modifiers may be cationic polymer systems composed of a primary polymer material and a secondary polymer material. For example, the cationic polymer system may be composed of a primary polymer material such as polyamine epichlorohydrin and a secondary polymer material such as tetraethylene pentamine.

Exemplary chemically charge-modified filters composed of: 1) a filter sheet having a plurality of individual exposed cellulose fibers and silica based filter materials selected from silica particulates and siliceous fibers; and 2) cationic chemical charge modifiers coated on or bonded to surfaces of the filter sheet are described in U.S. Patent No. 5,085,784, issued Feb. 4, 1992, to Ostreicher, and U.S. Patent No. 4,981,591, issued Jan. 1, 1991, to Ostreicher. Such chemically charge-modified filters may be obtained from CUNO, Process Filtration Products, A Unit of Commercial Intertech Corporation, Meriden, Connecticut, under the trade designation Zeta Plus® VIROSORB® 1MDS.

Although these chemically charge-modified filter materials are disclosed as useful for capturing and concentrating waterborne enteric viruses for sampling large water sources (e.g., lakes, rivers, effluent), the reported literature appears to recognize only that use. The present invention relates to the discovery of the unexpected affinity of these materials for certain waterborne pathogens greater than 0.1 microns (i.e., 0.1 micrometers) in size and that these charge-modified filters may be utilized in a method to remove an unexpectedly substantial portion of such waterborne pathogens from aqueous liquid. The present invention also relates to the discovery that these charge-modified filters may be utilized in a method to remove an unexpectedly substantial portion of waterborne pathogens greater than 0.1 microns in size from aqueous liquid to produce potable water.

When the contaminated aqueous liquid is passed through the chemically charge-modified filter, a substantial portion of the waterborne pathogens greater than about 0.1 micron in size are adsorbed onto the chemically charge-modified filter. Various flow rates of aqueous liquid through the filter may be used and appropriate flow rates can readily be determined by conventional methods.

Generally speaking, the waterborne pathogens are greater than about 0.1 micron in size. According to an aspect of the invention, the waterborne pathogens may be selected from *Vibrio cholerae*, *E. coli*, *S. typhimurium*, *S. flexneri*, *C. jejuni*, *P. aeruginosa*, *G. lamblia*, *C. parvum* and *S. aureus*. Of course, while there may be other waterborne pathogens that could be removed from aqueous liquid with unexpectedly good filtration efficiencies, the present invention has been found to be particularly effective at removing *Vibrio cholerae* from aqueous liquid.

Accordingly, in an aspect of the invention, the reduction of Vibrio cholerae by practicing the described method is desirably greater than a log 3 reduction. For example, the reduction of Vibrio cholerae is desirably greater than a log 5 reduction. Acceptable reductions of Vibrio cholerae may be achieved under a variety of conditions. For example, satisfactory reductions of Vibrio cholerae may be achieved when the waterborne pathogen contaminated aqueous liquid has a pH ranging from about 5 to about 9.

The present invention encompasses a method of removing waterborne pathogens from aqueous liquid utilizing a multi-layer filter material including at least two layers of the chemically charge-modified filter described above. Multiple layers of the filter material may be used to provide greater total surface area and/or other effects which may increase filtration efficiency. The present invention also encompasses a method utilizing a multi-layer material including at least one layer of the chemically charge-modified filter described above and at least one other layer. The other layer may be selected from woven fabrics, knit fabrics, bonded carded webs, continuous spunbond filament webs, meltblown fiber webs, films, apertured films, and combinations thereof. It is contemplated that the other layer may be selected to function in a variety of ways. For example, a meltblown fiber web may be used to provide removal of gross contaminants from aqueous liquid. Textile or spunbond fabrics may be selected to provide reinforcing or strength to the filter. Other materials may be selected to provide bulk or strength to enhance handling of the filter or to facilitate assembly or construction of filter laminates, cartridges or the like.

The present invention also encompasses a method of removing waterborne pathogens from aqueous liquid utilizing the chemically charge-modified filter described above in a three-dimensional form or shape such as, for example, a tube, cylinder, cone, cube, sphere or the like. Generally speaking, the particular shape or configuration of the filter for an application may be determined by conventional methods.

An important aspect of the present invention described above further encompasses a method of removing a substantial portion of waterborne pathogens greater than 0.1 micron in size from water contaminated with such waterborne pathogens to produce potable water. The method includes the step of passing the contaminated water through the chemically charge-modified filter described above so that a substantial portion of the waterborne pathogens greater than 0.1 micron in size is adsorbed onto the chemically charge-modified filter.

## EXAMPLES

### **PARTICLE ADSORPTION**

#### **Uniformity of Particle Adsorption**

5        FIG. 1 illustrates the comparable uniformity of particle adsorption for an exemplary charge-modified glass/cellulose filter medium (Zeta Plus® VIROSORB® 1MDS, CUNO, Meriden, CT). In particular, FIG. 1 is a 1000X linear magnification photomicrograph of a filter sheet with adsorbed polystyrene particles (300-nm in diameter). An aqueous solution containing polystyrene particles at a concentration of  $1.7 \times 10^9$  particles/mL was passed  
10        through the filter sheet mounted in a hand-held syringe disk filter apparatus (MILLIPORE 25mm diameter - available from Millipore Corporation, Bedford, Massachusetts). A 5 mL aliquot of particle solution was passed through the filter sheet in approximately 30 seconds, followed by air to remove any excess liquid. The filter sheet was then rinsed with a 5-20 mL volume of deionized water to remove any loosely-bound particles, which was then followed  
15        again by air to remove any excess liquid.

      A sample was submitted for field emission scanning electron microscopy (SEM) analysis to determine the uniformity and amount of particle adsorption to individual fibers. SEM analysis was carried out using a Hitachi S4500 field emission scanning electron microscope. From the micrograph, it is evident that the exemplary charge-modified  
20        glass/cellulose filter exhibits generally uniform particle adsorption.

### **WATERBORNE PATHOGEN ADSORPTION**

      As discussed above, the method of the present invention utilizes certain chemically charge-modified filters to remove substantial portions of waterborne pathogens greater than  
25        about 0.1 micron in size from aqueous liquid contaminated with such waterborne pathogens. For purposes of the present invention, the expression "removing a substantial portion of waterborne pathogens greater than about 0.1 micron in size from water contaminated with such waterborne pathogens" generally refers to removal of at least about 90 percent of the waterborne pathogens. In many instances, the removal rate will be significantly greater. For  
30        example, in some cases removal rates of 99 percent (a log 2 reduction) have been achieved. Removal rates of 99.9 percent (log 3 reduction), 99.99 percent (log 4 reduction), 99.999 percent (log 5 reduction) or greater have been achieved.

      The filters of the present invention remove waterborne pathogens primarily by interactions between the surface charge on the filter material and the pathogens rather than

by physical entrapment. Evidence that this is the case may be found in a comparison of the effective equivalent pore size of various filter material.

### Effective Equivalent Diameter of Pores

Measurements were made of the effective equivalent diameter of pores in three different types of filter material. Pore sizes were determined by liquid displacement techniques utilizing a Coulter Porometer and Coulter POROFIL<sup>®</sup> test liquid available from Coulter Electronics Limited, Luton, England. The mean flow pore size is determined by wetting a test sample with a liquid having a very low surface tension (i.e., Coulter POROFIL<sup>®</sup>). Air pressure is applied to one side of the sample. Eventually, as the air pressure is increased, the capillary attraction of the fluid in the largest pores is overcome, forcing the liquid out and allowing air to pass through the sample. With further increases in the air pressure, progressively smaller and smaller holes will clear. A flow versus pressure relationship for the wet sample can be established and compared to the results for the dry sample. The mean flow pore size is measured at the point where the curve representing 50% of the dry sample flow versus pressure intersects the curve representing wet sample flow versus pressure. The diameter of the pore which opens at that particular pressure (i.e., the mean flow pore size) can be determined from the following expression:

20 Pore Diameter (Microns) = (40t)/pressure

where  $t$  = surface tension of the fluid expressed in units of mN/M; the pressure is the applied pressure expressed in millibars (mbar); and the very low surface tension of the liquid used to wet the sample allows one to assume that the contact angle of the liquid on the sample is about zero.

The mean flow pore diameter was measured for a Millipore 0.5 micron filter available from Millipore Corporation, Bedford, Massachusetts; a Zeta Plus® Virosorb® 1MDS media disc available from CUNO, Meriden, Connecticut; and a 0.5 osy (~17 gsm) polypropylene meltblown nonwoven fabric available from Kimberly-Clark Corporation, Roswell, Georgia.

30 The results of pore size testing are reported in Table 1. The MILLIPORE filter and the Virosorb® filter have mean flow pore sizes of about 35 times and about 5.9 times smaller, respectively, than 0.5 osy (~17 gsm) polypropylene meltblown nonwoven. It should be noted that the pore sizes measured for the Virosorb® filter and the polypropylene meltblown are greater than the diameters of at many types of waterborne pathogens including, for example,

35 *Vibrio Cholerae*.

### Vibrio Cholera and Bacterial Filtration

Samples of Zeta Plus® Virosorb® 1MDS media disc were tested for their ability to filter or remove Vibrio cholera from aqueous solution. The Vibrio cholerae were plated and an isolated colony was inoculated in 5 mL of sterile tryptic soy buffer which was incubated at 35 degrees Centigrade for 3 hours. The Vibrio cholerae solution was measured at 420 nm and diluted until the absorbance was 0.64 for an approximate titer of  $1 \times 10^8$  waterborne pathogens per mL. One mL of the Vibrio cholerae solution was added to one liter of sterile water for an approximate initial titer of  $1 \times 10^5$  organisms per mL.

Zeta Plus® Virosorb® 1MDS media discs having a diameter of 48 mm were placed in a filter vacuum apparatus. Two layers of filters were used to provide a total basis weight of approximately 35-40 grams per square meter. Approximately 40 mL of the cholera solution was filtered through the filters. The filtrate was serially diluted by adding 1 mL of filtrate to 9 mL of phosphate buffer solution (NaCl,  $\text{Na}_2\text{HPO}_4$ ,  $\text{KH}_2\text{PO}_4$  and distilled  $\text{H}_2\text{O}$ ). Approximately 0.1 mL of each dilution (0, -1, -2, -3, -4) was plated on 1% tryptic soy agar plates. The plates were incubated for 24 hours.

Control Vibrio cholerae solutions which were not filtered grew 65 colony forming units (cfu) and 71 colony forming units (cfu) at a 1:100 dilution. From this data, the initial titer of the sample was determined. The plates containing the filtrate solutions were analyzed utilizing conventional techniques.

Samples of Zeta Plus® Virosorb® 1MDS media disc were tested for their ability to filter or remove several other bacteria from aqueous solution. The other bacteria are: E. coli, S. typhimurium, S. flexneri, C. jejuni, P. aeruginosa, and S. aureus. Results of testing are reported in Table 2.

The filters provided greater than a 6.0 log reduction in the concentration of Vibrio cholerae. The data show that pH appeared to have little impact on Vibrio cholerae removal. Significant reductions in the concentration of other bacteria were also observed.

### Poliovirus and MS2 Bacteriophage Filtration

Samples of Zeta Plus® Virosorb® 1MDS filter discs were tested for their ability to filter or remove polio virus type 1 or MS2 bacteriophage (typically used as a surrogate for polio virus) from aqueous solution.

Two types of virus solution were used. One solution was a buffer solution containing 0.02 M imidazole and 0.02 M glycine (pH 7.0). The other was dechlorinated tap water. Solution containing MS2 had a concentration of approximately  $1 \times 10^6$  MS2/mL. Solution containing polio virus had a concentration of approximately  $1 \times 10^5$  polio/mL.

Two layers of 25 mm diameter Zeta Plus® Virosorb® 1MDS filter discs were placed in stainless steel filter housings. Approximately 40 mL of the polio solution or the MS2 solution was forced through the filter utilizing a syringe at a flow rate of approximately 1 to about 3 mL/sec.

5 Approximately 0.3 mL of the filtrate was placed in a test-tube with 3 mL glycine/imidazole buffer (pH 7). Further 1:10 dilutions were done to produce a practical plate count.

MS2 plate count measurements were obtained by mixing 0.1 mL of the MS2 solution with 0.3 mL *E. coli* and plating in plate count agar containing crystal violet. Plaques formed by the virus in the bacteria lawn were counted. Polio plate counts measurements were obtained by plating on Green Monkey Kidney cell cultures and observing plaque formation. Results of testing are reported in Tables 3 and 4. The data reported under the headings "Unfiltered" and "Filter Effluent" are expressed in units of microorganisms per mL.

10 Removal of polio virus appeared to generally increase with increasing pH value. A similar effect was not readily apparent with MS2 bacteriophage. As noted above, pH appeared to have little impact on *Vibrio cholerae* removal

#### Simian rotavirus Filtration

Samples of Zeta Plus® Virosorb® 1MDS media disc were tested for their ability to filter or remove Simian rotavirus from aqueous solution essentially in accordance with the procedure described above.

Simian rotavirus was added to buffer solution containing 0.02 M imidazole and 0.02 M glycine (pH 7.0). Approximately 50 mL of the seeded solution was passed at a rate of about 1 mL/second through 25 mm filter holders containing two layers of the filter media disc. A second 50 mL portion of the seeded solution was passed through diatomaceous earth coated with aluminum hydroxide and ferric hydroxide on a fiberglass filter.

25 Dilutions of the initial sample and the effluents from the filters were plated on MA-104 cells. The cells were examined for the presence of cytopathic effects (CPE) for up to six days. Results of testing are reported in Table 5.

30 While the present invention has been described in connection with certain preferred embodiments, it is to be understood that the subject matter encompassed by way of the present invention is not to be limited to those specific embodiments. On the contrary, it is intended for the subject matter of the invention to include all alternatives, modifications and equivalents as can be included within the spirit and scope of the following claims.

TABLE 1  
Results of Coulter Porometer Tests

Sample	Minimum Size( $\mu\text{m}$ )	Maximum Size ( $\mu\text{m}$ )	Mean Flow Pore size ( $\mu\text{m}$ )
Millipore 0.5 mm Filter	0.30	0.82	0.48
Zeta Plus ® VIROSORB® 1MDS	1.81	9.66	2.86
Meltblown Polypropylene 1.5 osy (~51 gsm)	8.33	42.75	13.38

5

TABLE 2  
*Vibrio cholerae* Filtration

Micro-organism	Experimental Conditions	Initial CFU/mL	Final CFU/mL	% Reduction	Log Reduction
<i>V. cholerae</i> 01		$1.0 \times 10^6$	0	100%	>6.0
		$6.0 \times 10^4$	0	100%	>4.8
		$1.5 \times 10^5$	0	100%	>5.2
"		$4.5 \times 10^5$	$1.5 \times 10^2$	99.997%	4.5
"		$4.25 \times 10^5$	$1.5 \times 10^4$	99.6%	2.4
"		$1.3 \times 10^7$	$2.5 \times 10^3$	99.98%	3.7
"		$1.15 \times 10^6$	$1.49 \times 10^3$	99.87%	2.8
"		$1.1 \times 10^5$	0.5	99.9995%	5.35
"		$1.3 \times 10^7$	$1.58 \times 10^5$	87.8%	0.9
"	0.1% NaCl, pH=4.8 (HCl)	$2.5 \times 10^4$	0	100%	>4.3
"	0.1% NaCl pH=7.0 (HCl)	$2.31 \times 10^4$	2	99.99%	4.1
"	0.1% PBS pH=7.0	$4.6 \times 10^5$	$1.41 \times 10^5$	69.3%	0.5
"	0.1% PBS pH=7.0	$7.95 \times 10^5$	$8.4 \times 10^3$	98.9%	1.98
"	0.1% PBS pH=8.0	$7.45 \times 10^5$	$2.81 \times 10^4$	96.2%	1.4
"	Lake water*	$4.15 \times 10^5$	$1.74 \times 10^3$	99.6%	2.4
"		$1.13 \times 10^5$	0.5	99.9995%	5.3

TABLE 2 (continued)  
Vibrio cholerae Filtration

Micro-organism	Experimental Conditions	Initial CFU/mL	Final CFU/mL	% Reduction	Log Reduction
<i>E. coli</i>		$4.3 \times 10^5$	$2.5 \times 10^3$	99.4%	2.2
<i>S. typhimurium</i>		$6.85 \times 10^5$	0.7	100%	5.7
<i>Shigella flexneri</i>		$5.0 \times 10^5$	5	99.999%	5.0
<i>C. jejuni</i>		$4.8 \times 10^5$	0	100%	>5.7
<i>V. cholerae</i> 0139	sterile tap H <sub>2</sub> O, 0.1% NaCl	$2.63 \times 10^5$	$3.87 \times 10^2$	99.85%	2.8
<i>P. aeruginosa</i>		$3.85 \times 10^5$	0	100%	>5.6
<i>S. aureus</i>		$1.15 \times 10^5$	0	100%	>5.1

5

Table 3  
Poliovirus Filtration

Sample	Unfiltered	Filter Effluent	% Reduction	Log. Reduction
Buffer pH 7	$3.7 \times 10^5$	$3.0 \times 10^5$	19	- 0.09
Buffer pH 7 (4 layers)	$4.2 \times 10^5$	$2.4 \times 10^5$	43	- 0.24
Buffer pH 6	$6.4 \times 10^5$	$3.2 \times 10^5$	50	- 0.30
Buffer pH 4	$6.4 \times 10^5$	$6.0 \times 10^4$	91	- 1.03
Dechlorinated tap water, pH 6.5	$5.4 \times 10^5$	$4.0 \times 10^5$	26	- 0.13
Dechlorinated tap water, pH 4.5	$6.4 \times 10^5$	$1.6 \times 10^5$	75	- 0.60

10

Table 4  
MS2 bacteriophage Filtration

Sample	Unfiltered	Filter Effluent	% Reduction	Log. Reduction
Buffer pH 7	$1.6 \times 10^5$	$<5 \times 10^2$	>99.7	> -2.51
Buffer pH 7 (4 layers)	$1.3 \times 10^5$	$<5 \times 10^2$	>99.6	> -2.41
Buffer pH 6	$2.6 \times 10^4$	$<5 \times 10^2$	>98.10	> -1.72
Buffer pH 4	$<5 \times 10^2$	$<5 \times 10^2$	-	-
Dechlorinated tap water, pH 6.5	$1.5 \times 10^4$	$<5 \times 10^2$	>96.7	> -1.48
Dechlorinated tap water, pH 4.5	$1.0 \times 10^4$	$<5 \times 10^2$	>95.0	> -1.30



Table 5  
Rotovirus Filtration

Sample	Cytopathic effects (+ or -)			
	Undiluted	1/10	1/100	1/1000
Initial	+	+	+	+
Effluent from:				
Virosorb®	+	+	+	+
Modified diatomaceous earth	-	-	-	-

## WHAT IS CLAIMED IS:

5           1. A method of removing a substantial portion of waterborne pathogens from an aqueous liquid contaminated with such waterborne pathogens, the method comprises passing the contaminated aqueous liquid through a chemically charge-modified filter comprising:

10           a filter sheet having a plurality of individual exposed cellulose fibers and silica based filter materials selected from silica particulates and siliceous fibers;

          cationic chemical charge modifiers coated on surfaces of the filter sheet, the chemical charge modifiers comprising:

15           a primary charge modifier comprising a water soluble organic polymer capable of being adsorbed onto the filter sheet and having a molecular weight of greater than about 1000, each monomer of the polymer having at least one epoxide group capable of bonding on to the individual exposed surfaces of the filter sheet and also having at least one quaternary ammonium group; and

20           a secondary charge modifier bonded to a portion of the epoxy groups on the organic polymer, wherein the secondary charge modifying agent is an aliphatic polyamine having at least one primary amine or at least two secondary amines;

          so that when the contaminated aqueous liquid is passed through the chemically charge-modified filter, a substantial portion of the waterborne pathogens greater than about 0.1 micron in size are adsorbed onto the chemically charge-modified filter.

25           2. The method of claim 1, wherein the primary charge modifier is polyamine epichlorohydrin and the secondary charge modifier is tetraethylene pentamine.

          3. The method of claim 1, wherein the waterborne pathogens are greater than about 0.1 micron in size.

          4. The method of claim 1, wherein the waterborne pathogens are selected from Vibrio cholerae, E. coli, S. typhimurium, S. flexneri, C. jejuni, P. aeruginosa, and S. aureus.

30           5. The method of claim 4, wherein the reduction of Vibrio cholerae is greater than a log 2 reduction.

          6. The method of claim 4, wherein the reduction of Vibrio cholerae is greater than a log 5 reduction.

35           7. The method of claim 1, wherein the aqueous liquid is passed through the filter sheet having a three-dimensional form.

8. The method of claim 7, wherein the three-dimensional form is cylindrical.

9. The method of claim 1, wherein the aqueous liquid has a pH ranging from about 5 to about 9.

10. A method of removing a substantial portion of of Vibrio cholerae from water  
5 contaminated with such waterborne pathogens to produce potable water, the method  
comprises passing the contaminated water through a chemically charge-modified filter  
comprising:

a filter sheet having a plurality of individual exposed cellulose fibers and silica based  
filter materials selected from silica particulates and siliceous fibers;

10 cationic chemical charge modifiers coated on surfaces of the filter sheet, the chemical  
charge modifiers comprising:

a primary charge modifier comprising a water soluble organic polymer capable of being  
adsorbed onto the filter sheet and having a molecular weight of greater than about 1000,  
each monomer of the polymer having at least one epoxide group capable of bonding on to  
15 the individual exposed surfaces of the filter sheet and also having at least one quaternary  
ammonium group; and

a secondary charge modifier bonded to a portion of the epoxy groups on the organic  
polymer, wherein the secondary charge modifying agent is an aliphatic polyamine having at  
least one primary amine or at least two secondary amines;

20 so that when the contaminated water is passed through the chemically charge-modified  
filter, a substantial portion of the of Vibrio cholerae is adsorbed onto the chemically charge-  
modified filter to yield potable water.

11. The method of claim 10, wherein the primary charge modifier is polyamine  
epichlorohydrin and the secondary charge modifier is tetraethylene pentamine.

25 12. The method of claim 10, wherein the reduction of Vibrio cholerae is greater than  
a log 2 reduction.

13. The method of claim 12, wherein the reduction of Vibrio cholerae is greater than  
a log 5 reduction.

14. The method of claim 10, wherein the aqueous liquid is passed through the filter  
30 sheet having a three-dimensional form.

15. The method of claim 14, wherein the three-dimensional form is cylindrical.

16. The method of claim 10, wherein the aqueous liquid has a pH ranging from about  
5 to about 9.

17. A method of removing a substantial portion of of Vibrio cholerae from an aqueous liquid contaminated with such waterborne pathogens, the method comprises passing the contaminated aqueous liquid through a chemically charge-modified filter comprising:

a filter sheet having a plurality of individual exposed cellulose fibers and silica based

5 filter materials selected from silica particulates and siliceous fibers;

cationic chemical charge modifiers coated on surfaces of the filter sheet, the chemical charge modifiers comprising:

a primary charge modifier comprising a water soluble organic polymer capable of being adsorbed onto the filter sheet and having a molecular weight of greater than about 1000, each monomer of the polymer having at least one epoxide group capable of bonding to the individual exposed surfaces of the filter sheet and also having at least one quaternary ammonium group; and

10 a secondary charge modifier bonded to a portion of the epoxy groups on the organic polymer, wherein the secondary charge modifying agent is an aliphatic polyamine having at least one primary amine or at least two secondary amines; a filter sheet having a plurality of individual exposed surfaces; and

so that when the contaminated aqueous liquid is passed through the chemically charge-modified filter, a substantial portion of the of Vibrio cholerae are adsorbed onto the chemically charge-modified filter.

20 18. The method of claim 17, wherein the reduction of Vibrio cholerae is greater than a log 2 reduction.

19. The method of claim 18, wherein the reduction of Vibrio cholerae is greater than a log 5 reduction.

20. The method of claim 17, wherein the aqueous liquid is passed through the filter sheet having a three-dimensional form.

25

1/1



FIG. 1

# INTERNATIONAL SEARCH REPORT

Intern. Appl. No.  
PCT/US 97/10722

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 B01D39/18 B01D39/14 A61L2/00 A61L2/02

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 B01D A61L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 90 11814 A (CUNO INC) 18 October 1990	1-4,7,9
Y	see page 6, line 29 - page 8, line 27 see page 21, line 1 - line 21; claims 1-35; example IV; table I & US 5 085 784 A cited in the application	10,11, 14,16, 17,20
Y	EP 0 360 612 A (HAMPSHIRE ADVISORY TECH SERV) 28 March 1990  see page 2, line 3 - line 22 see page 2, line 60 - page 3, line 65	10,11, 14,16, 17,20
	-/--	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

23 October 1997

Date of mailing of the international search report

06. 11. 97

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Cubas Alcaraz, J

# INTERNATIONAL SEARCH REPORT

Internat Application No

PCT/US 97/10722

(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4 859 340 A (HOU KENNETH C ET AL) 22 August 1989  see column 4, line 54 - column 7, line 57 see column 15, line 1 - column 16, line 2 ---	1-4,10, 11,16, 17,20
A	EP 0 586 268 A (TERUMO CORP) 9 March 1994 see page 3, line 1 - line 18; claims 1-14 ---	1
A	DD 276 427 A (AKADEMIE DER WISSENSCHAFTEN DER DDR) 28 February 1990 see the whole document ---	1,10,17
A	WO 82 01477 A (AMF INC) 13 May 1982 -----	1-20

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 97/10722

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9011814 A	18-10-90	US 4981591 A	01-01-91
		AT 127702 T	15-09-95
		AU 621266 B	05-03-92
		AU 5526090 A	05-11-90
		DE 69022414 D	19-10-95
		DE 69022414 T	07-03-96
		EP 0466827 A	22-01-92
		JP 4504379 T	06-08-92
		US 5085784 A	04-02-92
		US 5085780 A	04-02-92
EP 0360612 A	28-03-90	GB 2223696 A	18-04-90
		AT 139984 T	15-07-96
		AU 631574 B	03-12-92
		AU 4406889 A	18-04-90
		BG 61032 B	30-09-96
		CA 1323311 A	19-10-93
		DE 68926766 D	08-08-96
		DE 68926766 T	13-02-97
		DK 51291 A	21-03-91
		EP 0435944 A	10-07-91
		ES 2091764 T	16-11-96
		WO 9003333 A	05-04-90
		HU 61950 A	29-03-93
		IN 173127 A	12-02-94
		JP 7102350 B	08-11-95
		JP 4502120 T	16-04-92
		KR 9603544 B	15-03-96
		OA 9640 A	30-04-93
		RU 2036846 C	09-06-95
US 4859340 A	22-08-89	US 4309247 A	05-01-82
		US 4366068 A	28-12-82
EP 0586268 A	09-03-94	JP 6015167 A	25-01-94
		JP 6114250 A	26-04-94
		JP 6154323 A	03-06-94
		US 5547576 A	20-08-96
DD 276427 A		NONE	



# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 97/10722

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 8201477 A	13-05-82	AU 7678081 A	06-05-82
		BR 8108849 A	21-09-82
		CA 1156410 A	08-11-83
		EP 0050864 A	05-05-82
		JP 57501855 T	14-10-82
		JP 63032093 B	28-06-88
		US 4473474 A	25-09-84
		US 4708803 A	24-11-87
		US 4673504 A	16-06-87
		US 4711793 A	08-12-87
-----			